

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/995,698
Date Filed: November 29, 2001
Title: LINKING BIDS AND OFFERS IN A TRADING SYSTEM
Applicant: Andrew C. GILBERT
Group Art Unit: 3693
Confirmation No.: 2615
Examiner: Stefanos Karmis

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Commissioner for Patents:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith patents, publications or other information that may be material to the examination of this application or that may be within a duty to disclose. Applicant respectfully requests that the Examiner fully consider the items listed on the enclosed PTO Form-1449, to independently ascertain their teaching, and to initial beside each reference listed. Please return a copy of the initialed citation form to the Applicants' undersigned representative

Applicants reserve the right to establish the patentability of any claim over any of the information provided. The filing of this Information Disclosure Statement shall not be construed as an admission or representation that (a) a thorough search has been made; (b) the information cited is, or is considered to be, material to patentability; (c) no additional material information exists; (d) Applicant agrees with any statement(s) in the information cited; (e) any reference is prior art; (f) any reference has been reviewed; (g) any reference is analogous art; (h) the listed publication date of any reference is the date on which the reference was actually first published; (i) the information cited is enabling or otherwise sufficient for the teachings purportedly proffered thereby.

The Examiner is specifically requested not to rely solely on the information submitted by Applicants in this Information Disclosure Statement or in other documents submitted by Applicants.

FEES AND/OR CERTIFICATIONS

This information Disclosure Statement is submitted after three months from the filing date, or after the mailing of a first Office Action on the merits, but prior to the mailing date of a final Office Action, a Notice of Allowance or any action that otherwise closes prosecution of the application, and statement specified in 37 CFR §1.97(e) is provided below. Therefore no fee is due.

Pursuant to 37 C.F.R. §1.97(e)(2), the undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Applicant herein authorizes the Commissioner to charge any fees specified above to Deposit Account No. 50-3938.

It is not believed that any additional fees are required beyond those that may otherwise be provided for in this paper or documents accompanying this paper. However, if additional fees are necessary to prevent abandonment of this application, then any fees required therefor are hereby authorized to be charged to Deposit Account No. 50-3938.

Respectfully submitted,

Dated: August 19, 2010

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